Grammatical Theory with Gradient Symbol Structures

The GSC Research Group

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Problem: crisis of cognitive architecture. Unify symbolic & neural-network (NN) computation

Proposal: Gradient Symbolic Computation (GSC), a cognitive architecture

- Representation: symbol structures as vectors—Tensor Product Representations (TPRs)
- Knowledge: weighted constraints--probabilistic Harmonic Grammars (HGs)
- Processing:
 - (1) (Multi-)linear feed-forward NNs
 - (2) Stochastic feed-back (higher-order) NNs

Smolensky, Goldrick & Mathis 2014 *Cognitive Science* Smolensky & Legendre 2006 *The Harmonic Mind* MIT Press

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Tests:

- symbolic side
 - ► computation
 - (1) can compute: ("primitive") recursive functions, β-reduction, tree adjoining, inference
 - ★ (2) can specify/asymptotically compute: formal languages (type 0)
 - ► linguistic theory: HG/OT work in phonology, ..., pragmatics
- NN side
 - ► computation
 - + theory: stochastic convergence to global optima of Harmony
 - ◆ NLP applications (MS): question answering, semantic parsing (related: vector semantics etc.)
 - cognitive neuroscience: stay tuned (limited extant evidence)
- Together: (currently) psycholinguistics of sentence production & comprehension

Prediction: blended, gradient symbol structures play an important role in cognition

- NNs: phonetics, psycholinguistics: interaction of gradience & structure-sensitivity
- symbolic level, phonology: gradience in lexical representations & French liaison

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Why go beyond classical symbol structures in grammatical theory?

Fundamental issue: Symbolic analyses in linguistics often offer tremendous insight, but typically they don't quite work.

Hypothesis: Blended, gradient symbol structures can help resolve long-standing impasses in linguistic theory. *Problem:* Competing analyses posit structures A and B to account for X Proposal: X actually arises from a gradient blend of structures A and B

Today: X = French liaison (& elision); Cs (& Vs) that ~ \emptyset ; e.g., *peti t ami* ~ *peti copain* A = underlyingly, *petit* is /pøtiT/ with deficient final *t*; *ami* is /ami/ B = underlyingly, *petit* is /pøti/; *ami* is {/tami/ (~ /zami/, /nami/, /ami/}

<u>cognitive neuroscience: stay tunea (iimitea extant eviden</u>

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B = underlyingly, *petit* is /pøti/; *ami* is {/tami (~ /zami/, /nami/, /ami/}

See also Hankamer, Jorge. 1977. Multiple Analyses. In Charles Li (ed.) *Mechanisms of Syntactic Change*, pp. 583–607. University of Texas Press.
"we must give up the assumption that two or more conflicting analyses cannot be simultaneously correct for a given phenomenon" (pp. 583–4)
"such constructions have both analyses at once (in the conjunctive sense)" (p. 592)

Goals of the work

Show how Gradient Symbolic Representations (GSRs)

- enable enlightening accounts of many of the phenomena that have been claimed to occur in the rich scope of liaison
- putting aside the many divergent views on the actual empirical status of these alleged phenomena
- The theoretical divergences in this field illustrate well how symbolic representations don't *quite* work.
 - ► Can GSC help resolve these disputes?

Talk goal: show what GSRs can do in the analysis of liaison.

A theoretical exploration — **not** an empirical argument!

- The facts are much too murky for me to even attempt a definitive empirical argument (but stay tuned).
- Also, it takes considerable theoretical exploration of a new framework before it's appropriate to seek empirical validation.

Inspiration

Dowty sketch re: structural ambivalence (*PP complement vs. adjunct*)

Dowty, David. 2003. The Dual Analysis of Adjuncts/Complements in Categorial Grammar. In Ewald Lang, Claudia Maienborn, Cathrine Fabricius-Hansen, eds., *Modifying Adjuncts*. pp. 33–66. Mouton de Gruyter.

Inspiration

Dowty sketch re: structural ambivalence (*PP complement vs. adjunct*)

- children form an initial simple, maximally general, analysis
 - ► *adjuncts:* compositional semantics
- adults end up with a more complex, specialized analysis
 - *complements:* idiosyncratic semantics

but:

- general analysis persists in adulthood
- co-exists with more complex analysis
- ► the two blend and function jointly

"in some subtle psychological way, in on-line processing—though in a way that only connectionism or some other other future theories of the psychology of language can explain." [antepenultimate paragraph, yellow added]

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Here, formalize the adult blend, speculate about acquisition [*skip*?]

- liaison in French
 - ultimately involves prosody [*skip*?]

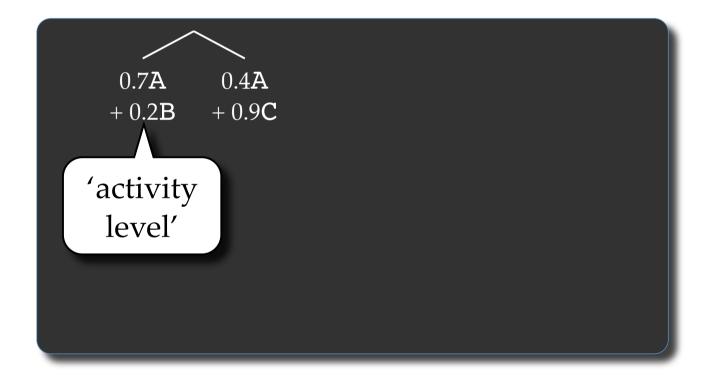
Outline

- ① Gradient Symbolic Computation in grammar: Nano-intro
- ② The adult blend: A gradient grammar of French liaison
 - (A) The phonological phenomenon
 - ^(B) GSC analysis: Idea
 - C GSC analysis: Formal account
- ③ Acquisition: Speculations on formalizing Dowty's sketch [*skip* (1)?]
- ④ Prosody: Tentative suggestions [*skip* (6)?]
- 5 Summary

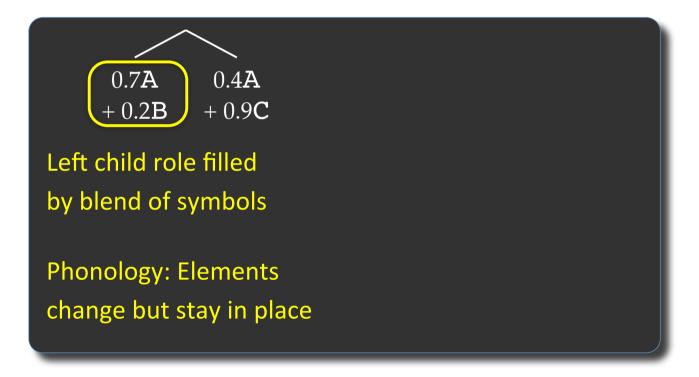
Gradient Symbolic Computation in grammar

Nano-intro

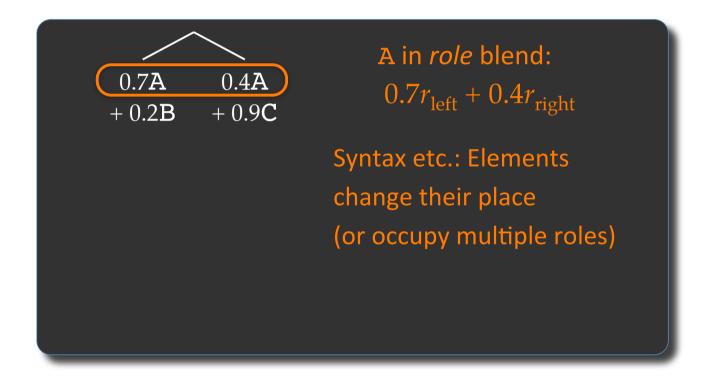
Examples of Gradient Symbolic Representations (GSRs)



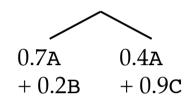
Examples of Gradient Symbolic Representations (GSRs)



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Examples of Gradient Symbolic Representations (GSRs)



petit ami [^Mpøti(λ ·t)] [^M(τ ·t+ ζ ·z+ ν ·n)ami]

A state in GSC is a probability distribution over GSRs

Computation with GS Representations

GSRs are implemented as distributed activity patterns/vectors

• this formalizes 'blend of symbols', 'blend of roles'



Computation with GS Representations

GSRs are implemented as distributed activity patterns/vectors

• this formalizes 'blend of symbols', 'blend of roles'

Dynamics: stochastic optimization

Here **do** *not* **deal with dynamics**, but exploit the fact that the outcome of the dynamics is (in the competence-theoretic approximation)

- a representation that **maximizes** well-formedness: *'Harmony' H*
- *H*(*r*) is the (weighted) sum of violations, by representation *r*, of constraints \mathbb{C}_k
- each \mathbb{C}_k has a numerical weight (*H* is a *Harmonic Grammar*)

Computation with GS Representations

GSRs are implemented as distributed activity patterns/vectors

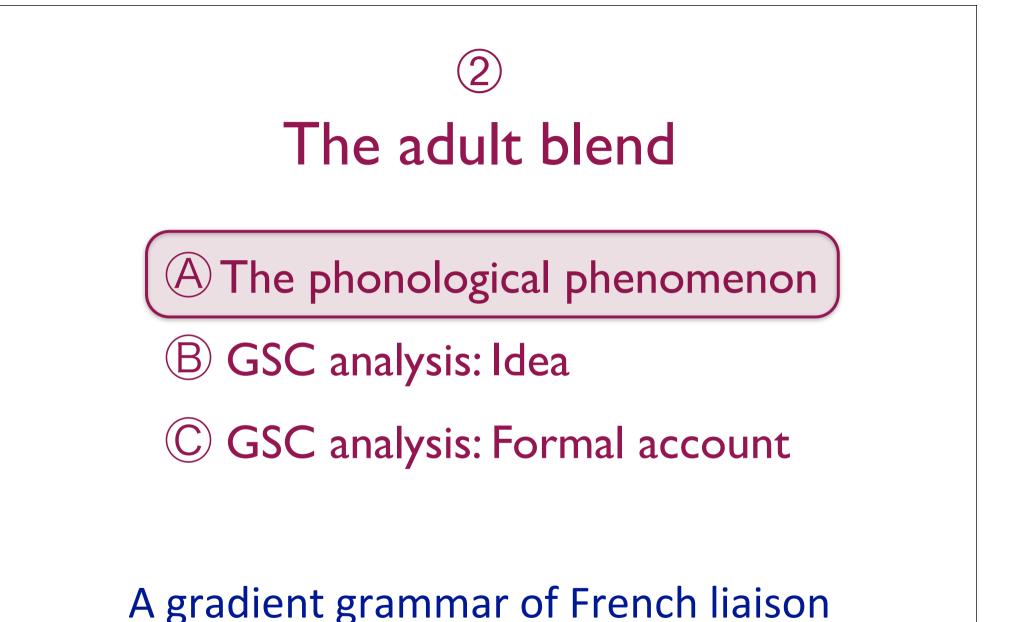
• this formalizes 'blend of symbols', 'blend of roles'

Dynamics: stochastic optimization

Here do not
outcome o
(in the $HT_{83/86} \rightarrow HG_{90} \rightarrow OT_{91/93} \rightarrow HG_{06}$ Use the set of th

- a repres set in the set of the
- H(r) is the (weighted) sum of violations, by representation r, of constraints \mathbb{C}_k
- each \mathbb{C}_k has a numerical weight (*H* is a *Harmonic Grammar*)
- the activity-vector implementation determines how *H*(*r*) is computed when *r* is a GSR

ny' H



A The phonological phenomenon: Core

Latent consonants in French (liaison)

 Core phenomena
 Universal σ well-formedness: ONSET, NOCODA

 no coda, onset
 coda, onset
 no coda, no onset

 .pø.ti.ta.mi.
 .pø.ti.ko.pẽ.
 .pø.tit.ko.pin.
 .pø.ti.e.во.

 [t]
 no [t]
 [t]
 no [t]

 petit ami vs. *petit copain* vs. *petite copine* vs. *petit héro*

[t]: only -V everywhere not -V (*h*-aspiré)

with peti(t), final /t/ only surfaces 'when needed for syllable onset'
 but before héro, no /t/ despite lacking onset (? typically absent)
with petite, final /t/ always surfaces, even in coda

What is the (t) vs. t distinction in underlying (stored lexical) form?

• 'liaison' \mathcal{L} [*petit*] vs. 'fixed' [*petite*] \mathcal{F} final consonants

A The phonological phenomenon: Core

Latent consonants in French (liaison)

Core mappings

- (1) $v\mathcal{L} + V \rightarrow v\mathcal{L}V$ $peti(t) + ami \rightarrow .pø.ti.ta.mi.$
- (2) $v\mathcal{L} + c \rightarrow v.c$ $peti(t) + copain \rightarrow .pø.ti.ko.p\tilde{e}.$
- (3) $v\mathcal{L} + \mathbb{V} \rightarrow v.\mathbb{V}$ $peti(t) + \mathbb{H}\acute{ero} \rightarrow .pø.ti.e.ko.$
- (4) $v\mathcal{F} + c \rightarrow v\mathcal{F}.c$ petite + copine \rightarrow .pø.ti<u>t</u>.ko.pin.

petit ami vs. petit copain vs. petite copine vs. petit héro

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Latent consonants in French (liaison)

What is the (t) vs. t distinction in underlying (stored lexical) form?

• 'liaison' \mathcal{L} vs. 'fixed' \mathcal{F} final consonants

Proposed GSC answer: *activity level*

 \mathcal{F} is a fully active C, but \mathcal{L} is *activity-deficient* — 'weak'

 $\mathcal L$ can surface only if it is provided with extra activity

 \mathcal{L} is exactly like \mathcal{F} in *content* (a standard C) — but weaker in *activity*.

A The phonological phenomenon

Latent consonants in French (liaison)

So far, following orthography, we've assumed a liaison C is *final* in the word it follows

- the $\hat{W}_1 \mathcal{L}$ (or final- \mathcal{L}) Analysis
 - also take to include syllabification-driven alternation

But a number of phonologists reject this theory.

some may find this inelegant

They favor an analysis in which a liaison C is *initial* in the word it precedes

- consistent with syllabification
- requires lexical entries *ami, tami, zami, nami, …*: allomorph selection is driven by the preceding word
- the $\mathcal{L}\hat{W}_2$ (or \mathcal{L} -initial) Analysis

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Why? ['external evidence']

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- consistent with syllabification
- requires lexical entries *ami, tami, zami, nami, …*: allomorph selection is driven by the preceding word
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A The phonological phenomenon: Complications

need at least a 3-way contrast

Trouble for strictly syllabification-driver distribution of \mathcal{L} :

(5) *Phrase-final* \mathcal{L} . In a few words: $dix \parallel \rightarrow dis \parallel (but deux \parallel \rightarrow d \emptyset \parallel)$

6 *Coda* \mathcal{L} (1). Can get **v** \mathcal{L} **.V** instead of **v**. \mathcal{L} **V** (but never *v \mathcal{L} .c)

(7) *h-aspiré onset* \mathcal{F} (*but not* \mathcal{L}). Can get $\mathbf{v}.\mathcal{F}\mathbb{V}$ (but not $*\mathbf{v}.\mathcal{L}\mathbb{V}$)

(8) *Post-pausal* L. L can surface after a prosodic break: ||L
(9) Frequency effect. Where optional, p(L surfaces) ~ p(W₂|W₁)

... as if \mathcal{L} were part of the following word

Côté 2005, 2011 Tranel 1981 *et seq.* 6 Encrevé 1988 9 Ågren 1973, Bybee 2001 \dots so neither W_1 nor W_2 alone contains all lexically-specific relevant information

(A) The phonological phenomenon: Complications

Errors that are *expected* under the $\mathcal{L}\hat{W}_2$ - but *not* the $\hat{W}_1\mathcal{L}$ -Analysis:

- ① Incorrect L selection. When an incorrect C is substituted for L, it is another liaison C: v.L'v for v.Lv
- (1) *Exceptional* \mathcal{L} *epenthesis*. When what should be V.V is illicitly repaired by C-insertion, it is a liaison C: $\underline{\mathbf{v}}.\mathcal{L}'\mathbf{v}$ for $\mathbf{v}.\mathbf{v}$

(12) Child \mathcal{L} -as- \mathcal{F} . $\mathcal{L}\hat{W}_2$ treated as if word $\mathcal{F}\hat{W}_2$

— joli '<u>n</u>ami'

ditto: /nami/ for /ami/

<u>expected</u> given [wd = [σ heuristic for word segmentation

 $\mathcal{L}\hat{W}_2$ Analysis: mis-selection of W_2 allomorph: $\mathcal{L}'\hat{W}_2$ for \hat{W}_2

R. Shi 2011: ~20 mos., ami, tami, zami, nami

 $\mathcal{L}\hat{W}_2$ Analysis: mis-selection of

 W_2 allomorph: $\mathcal{L}'\hat{W}_2$ or $\mathcal{L}\hat{W}_2$

A The phonological phenomenon: Complications

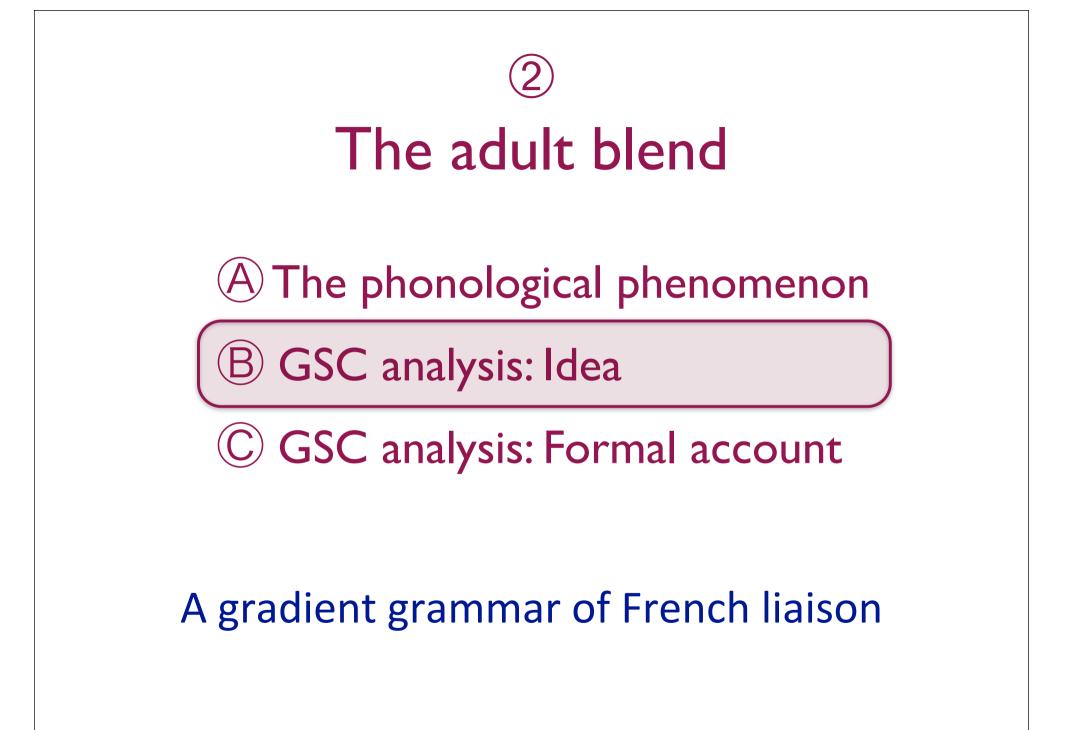
Challenges for the $\mathcal{L}\hat{W}_2$ - but not the $\hat{W}_1\mathcal{L}$ -Analysis:

- (13) W_2 alloworph selection. (None required in $\hat{W}_1 \mathcal{L}$ -Analysis)
- (4) *Coda* \mathcal{L} (2). Can get $v\mathcal{L}.V$ instead of $v.\mathcal{L}V$ but never $*v\mathcal{F}.V$

Another challenge for both analyses:

) Gender-bending \mathcal{L} . belle copine and belle amie; beau copain but *b<u>eau a</u>mi: instead bel ami.

Proposed GSC theory appears to account for all @s (explanation? insight?)



Latent consonants in French (liaison)

- So far, following orthography, we've assumed a liaison C is *final* in the word it follows:
 - the $\hat{W}_1 \mathcal{L}$ Analysis
 - also take to include *syllabification-driven alternation*
- But in children ear After Dowty: propose that the adult state ...

ami, tami, zar

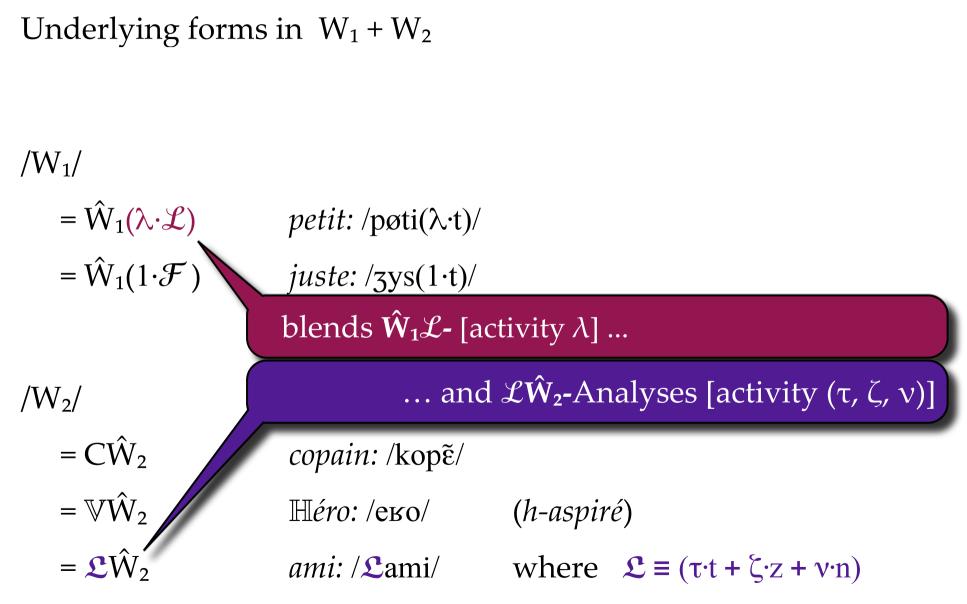
— multiple a

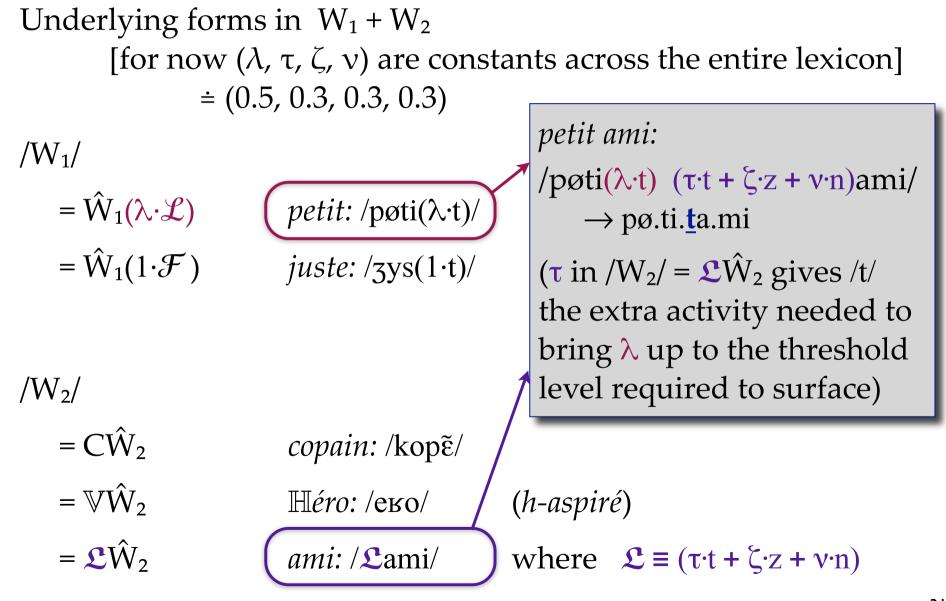
blends $\hat{W}_1\mathcal{L}$ -

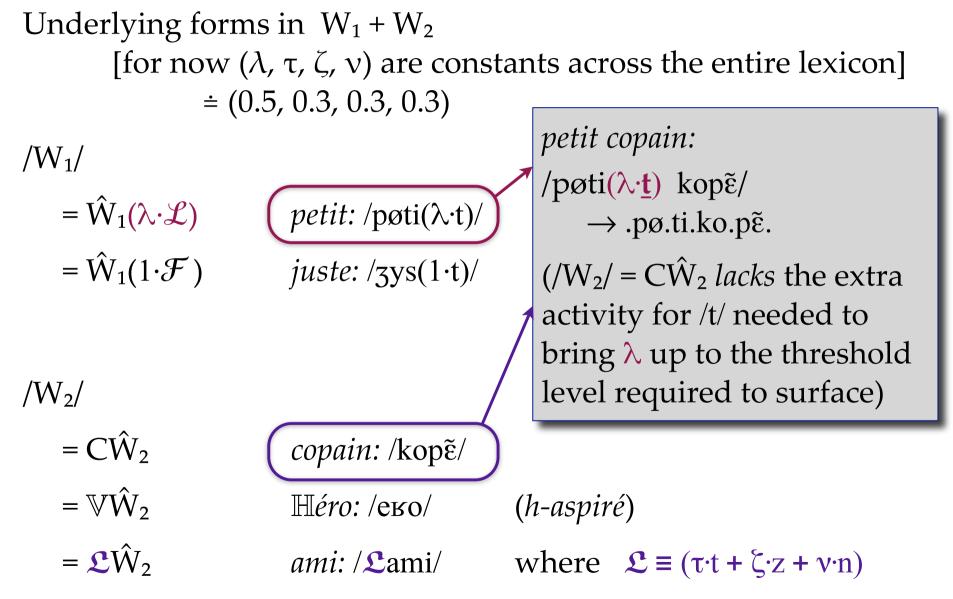
... and $\mathcal{L}\hat{W}_2$ -Analyses

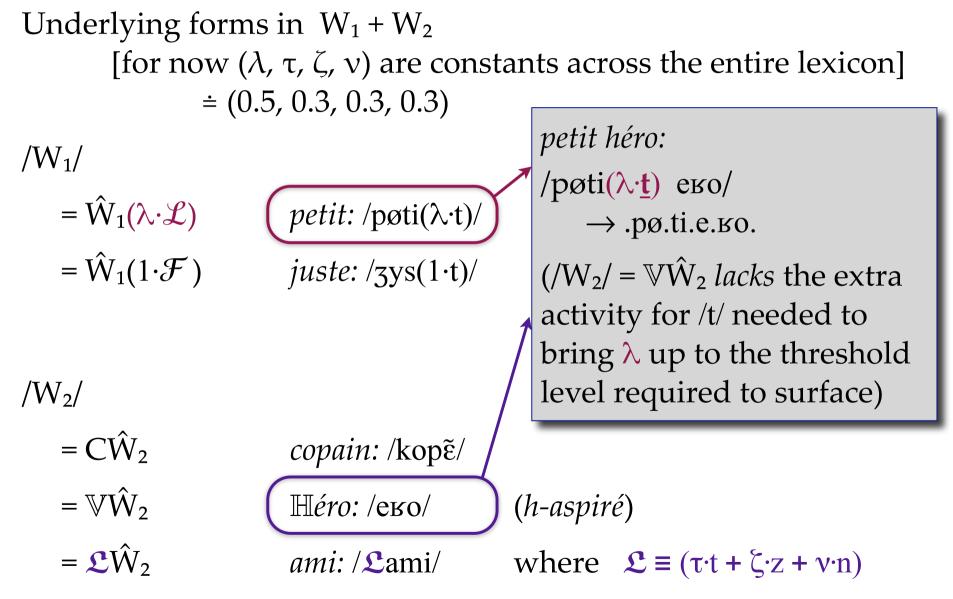
Presumably extracted from *joli. ami, peti.t ami, te.s amis, u.n ami,* ... via a bias [morpheme = [syllable

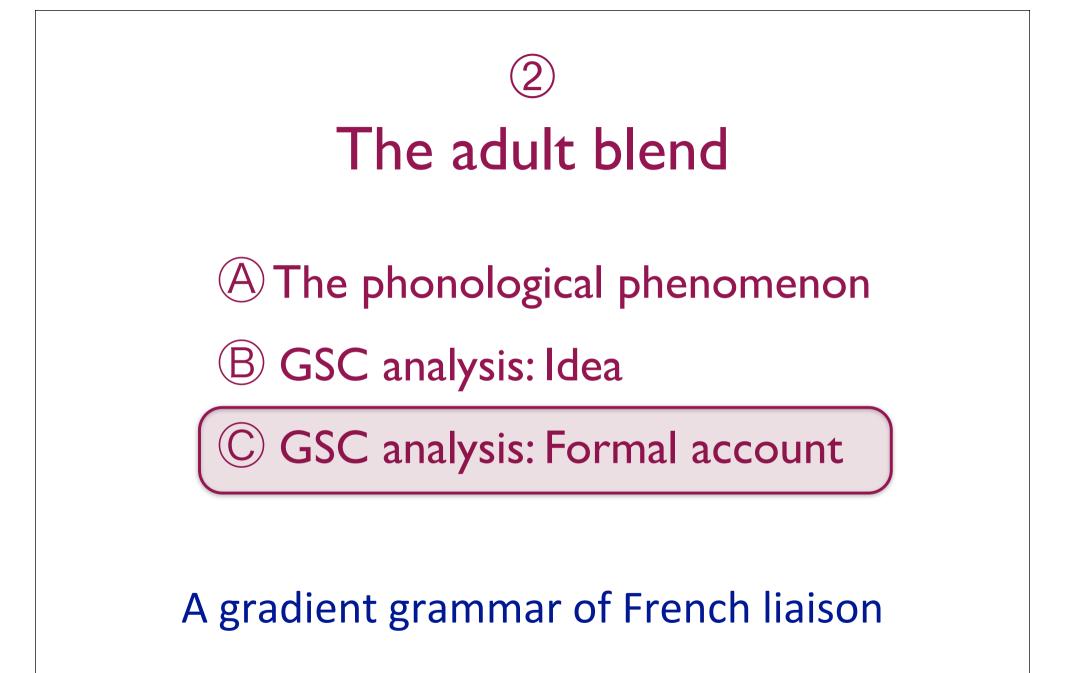
That is, a liaison C is *initial* in the word that it precedes:
the Lŵ₂ Analysis



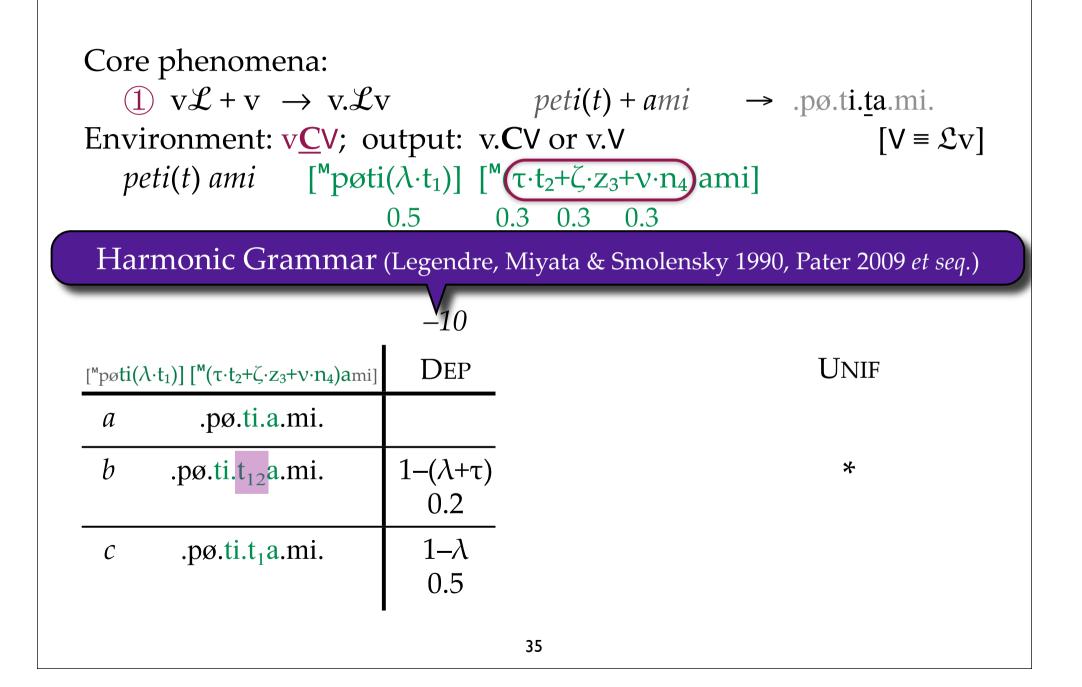




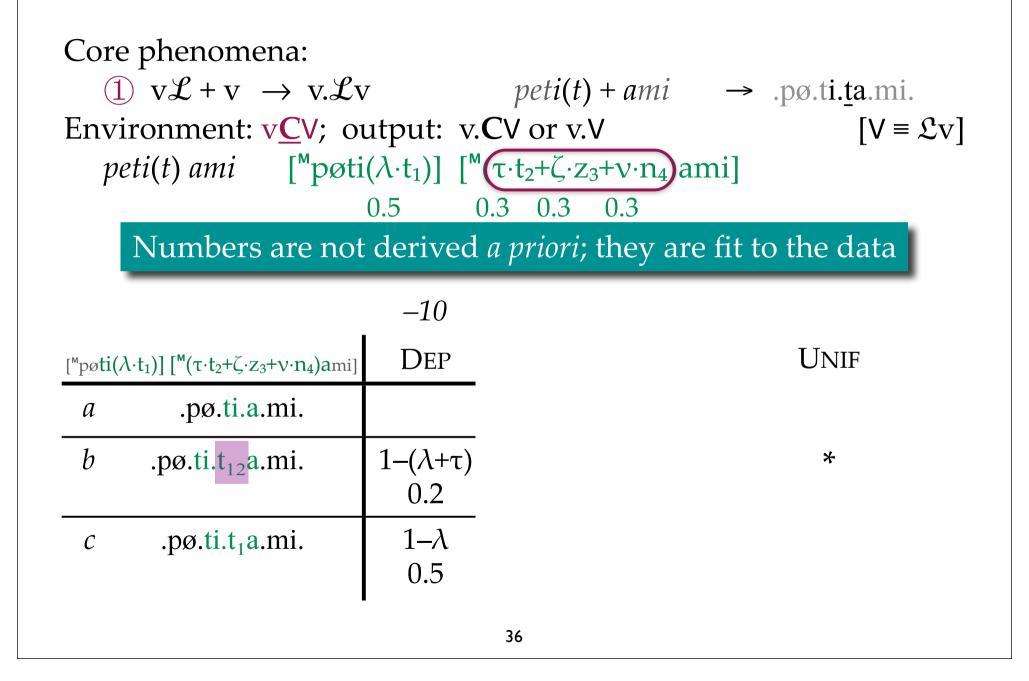


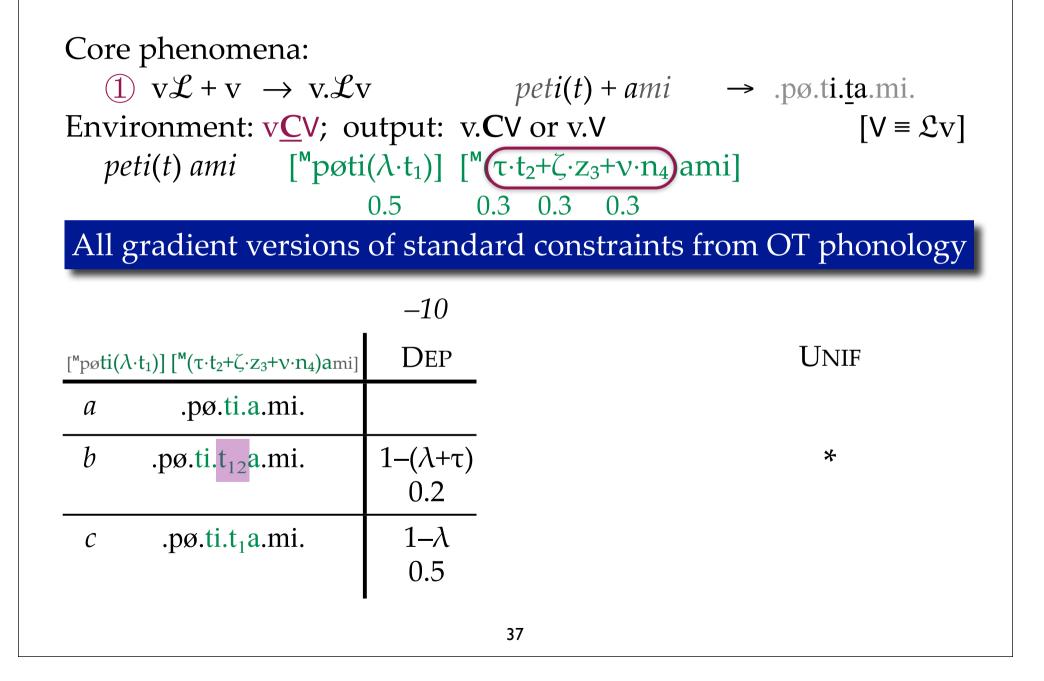


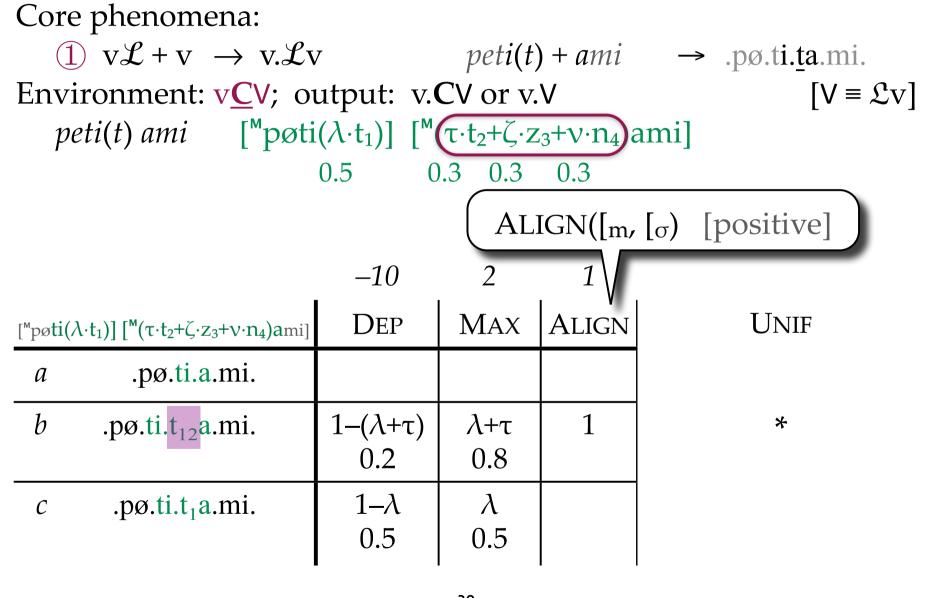
© A GSC analysis: Formal account



© A GSC analysis: Formal account







Core	e phenomena:						
	$\int v\mathcal{L} + v \rightarrow v\mathcal{L}v$	7	peti(t) + <i>ami</i>	\rightarrow	pø.ti. <u>t</u> a.	mi.
Env	ironment: v <u>C</u> V; or	utput: v.	tput: v. C V or v.V			$[V \equiv \mathfrak{L}_V]$	
peti(t) ami [^M pøti($\lambda \cdot t_1$)] [^M $\tau \cdot t_2 + \zeta \cdot z_3 + \nu \cdot n_4$ ami]							
W_2 allomorph selection. It's automatic: only the matching \mathcal{L} can coalesce \Rightarrow surface; next case shows coalescence is necessary							
		-10	2	1	-0.9	-0.7	
[[™] pøti($\lambda \cdot t_1$] [^M ($\tau \cdot t_2 + \zeta \cdot z_3 + \nu \cdot n_4$)ami]	Dep	MAX	ALIGN	Onset	Unif	H
[[™] pøti(<i>a</i>	$\lambda \cdot t_1$] [^M ($\tau \cdot t_2 + \zeta \cdot z_3 + \nu \cdot n_4$)ami] .pø.ti.a.mi.	Dep	MAX	Align	Onset 1	Unif	Н -0.9
	.pø.ti.a.mi.	Dep 1–(λ+τ)	ΜΑΧ <i>λ</i> +τ	ALIGN 1		UNIF 1	
а							-0.9
а	.pø.ti.a.mi.	1-(λ+τ)	λ+τ				-0.9
a b	.pø.ti.a.mi. .pø.ti.t ₁₂ a.mi. ☜	$1-(\lambda+\tau)$ 0.2	λ+τ 0.8				-0.9

$$H(b) - H(a) = [(1 - \lambda - \tau)D + (\lambda + \tau)M + U + A^{L}] - [O]$$

$$= (\lambda + \tau)[M - D] + D + U + A^{L} - O$$

$$iff (\lambda + \tau) > (-[D + U + A^{L} - O]/[M - D] = \theta(v C v)$$

$$= -[-10 - 0.7 + 1 - (-0.9)]/[2 - (-10)]$$

$$= 0.73$$

$$\checkmark \text{ since } \lambda + \tau \doteq 0.5 + 0.3 = 0.8$$

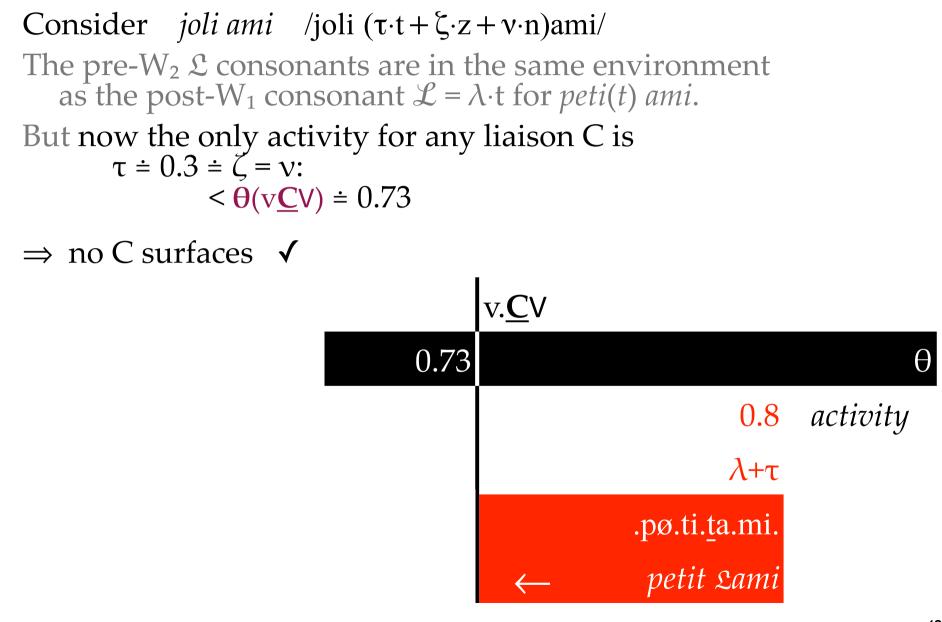
$$-10 \quad 2 \quad 1 \quad -0.9 \quad -0.7$$

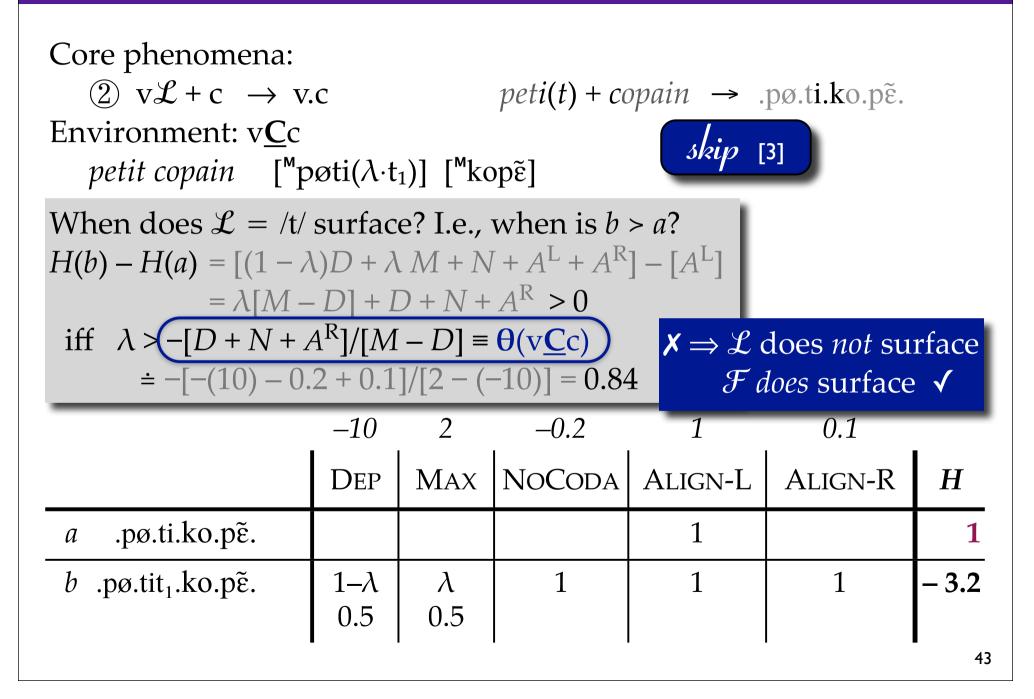
$$I^{\text{(*poti}(\lambda \cdot t_{1})]} [^{\text{M}}(\tau \cdot t_{2} + \zeta \cdot z_{3} + v \cdot n_{4}) \text{amil}] \quad DEP \quad MAX \quad ALIGN \quad ONSET \quad UNIF \quad H$$

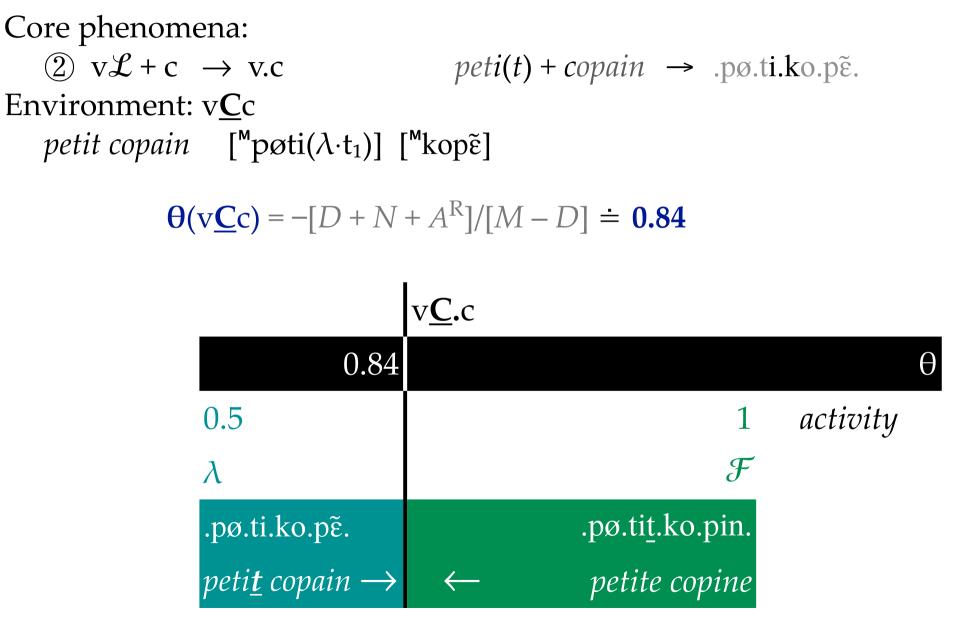
$$\frac{a \quad .pø.ti.a.mi.}{b \quad .pø.ti.t_{12}a.mi.} \quad 1 - (\lambda + \tau) \quad \lambda + \tau \quad 1 \quad 1 \quad -0.1$$

$$\frac{b \quad .pø.ti.t_{12}a.mi.}{c \quad .pø.ti.t_{1}a.mi.} \quad 1 - \lambda \quad \lambda \quad 0.5 \quad 0.5 \quad 0.5 \quad 0.5$$

Core phenomena: (1) $v\mathcal{L} + v \rightarrow v\mathcal{L}v$ $peti(t) + ami \rightarrow .pø.ti.ta.mi.$ Environment: v<u>C</u>V; output: v.CV or v.V pøtit ami [^mpøti($\lambda \cdot t_1$)] [^m($\tau \cdot t_2 + \zeta \cdot z_3 + \nu \cdot n_4$)ami] $\theta(vCV) = -[D + U + A^{L} - O]/[M - D] \doteq 0.73$ v.<u>C</u>V 0.73 0.8 activity $\lambda + \tau$.pø.ti.ta.mi. petit £ami







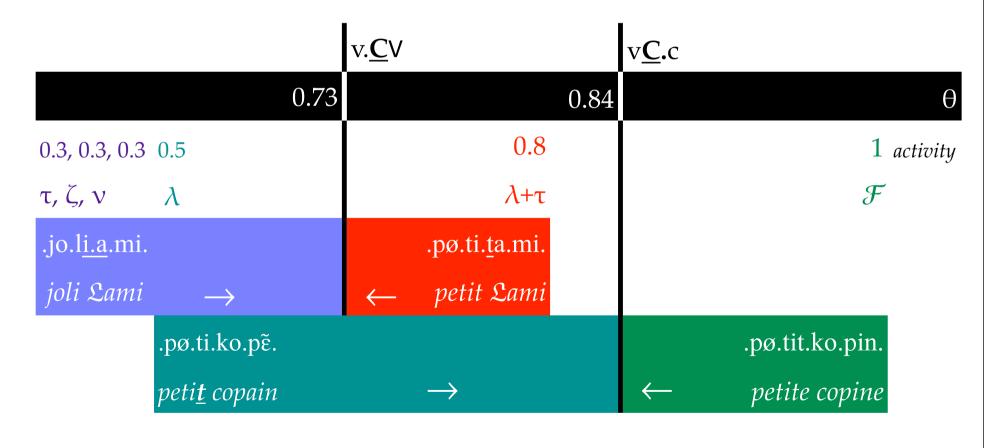
Core phenomena:

 $(1) v\mathcal{L} + v \rightarrow v\mathcal{L}v$

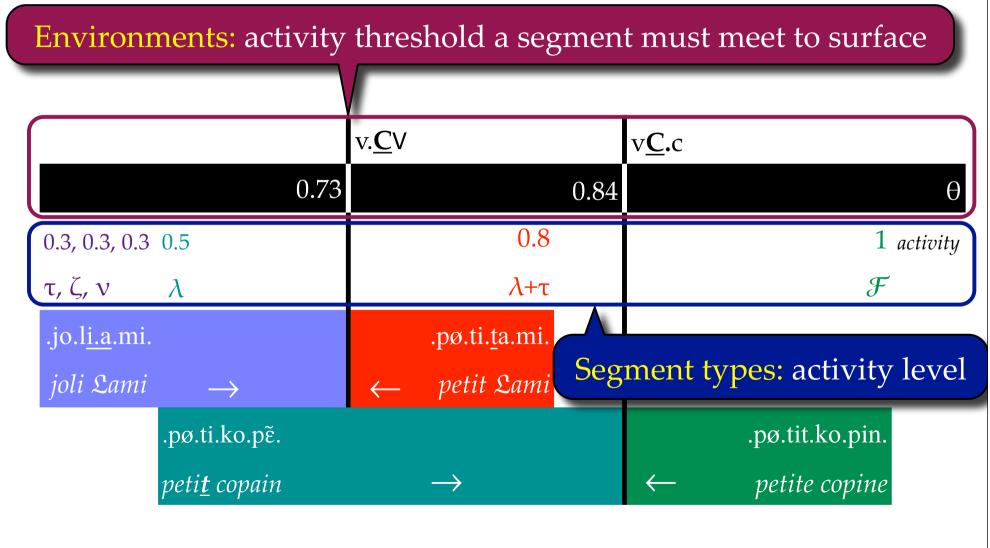
(2) $v\mathcal{L} + c \rightarrow v.c$

Environments: v<u>C</u>V, v<u>C</u>c

 $peti(t) + ami \rightarrow .pø.ti.\underline{t}a.mi.$ $peti(t) + copain \rightarrow .pø.ti.ko.p\tilde{e}.$



The analysis consists of 2 crossed dimensions:



Core mappings

- $(1) v\mathcal{L} + V \rightarrow v.\mathcal{L}V$
- (2) $v\mathcal{L} + c \rightarrow v.c$
- $(3) v\mathcal{L} + \mathbb{V} \to v.\mathbb{V}$
- $(4) v\mathcal{F} + c \rightarrow v\mathcal{F}.c$

 $peti(t) + ami \rightarrow .pø.ti.\underline{t}a.mi.$ $peti(t) + copain \rightarrow .pø.ti.ko.p\tilde{e}.$ $peti(t) + \mathbb{H}\acute{e}ro \rightarrow .pø.ti.e.вo.$ $petite + copine \rightarrow .pø.ti\underline{t}.ko.pin.$

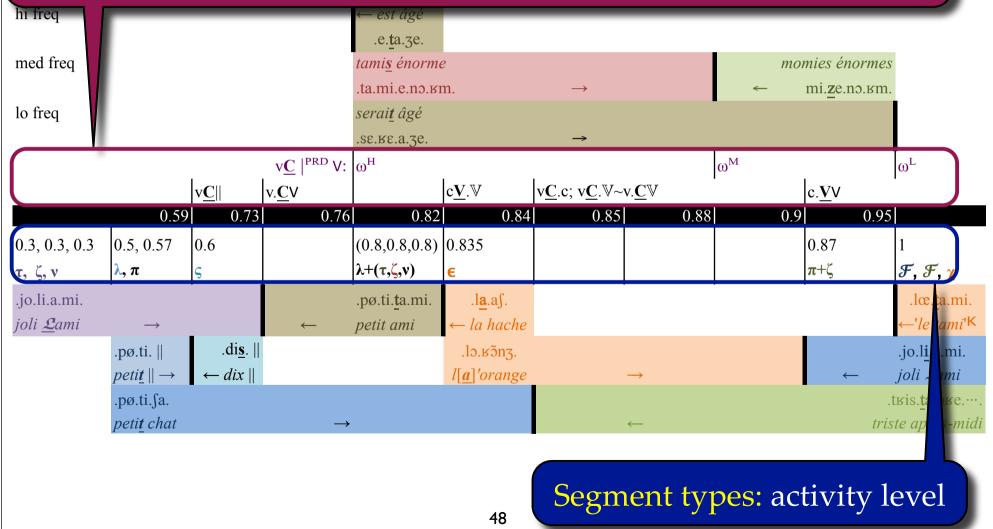
Analysis handles these 4 core patterns and nearly a dozen peripheral patterns: so far, handles all phenomena covered by both the $\hat{W}_1 \mathcal{L}$ and $\mathcal{L} \hat{W}_2$ accounts

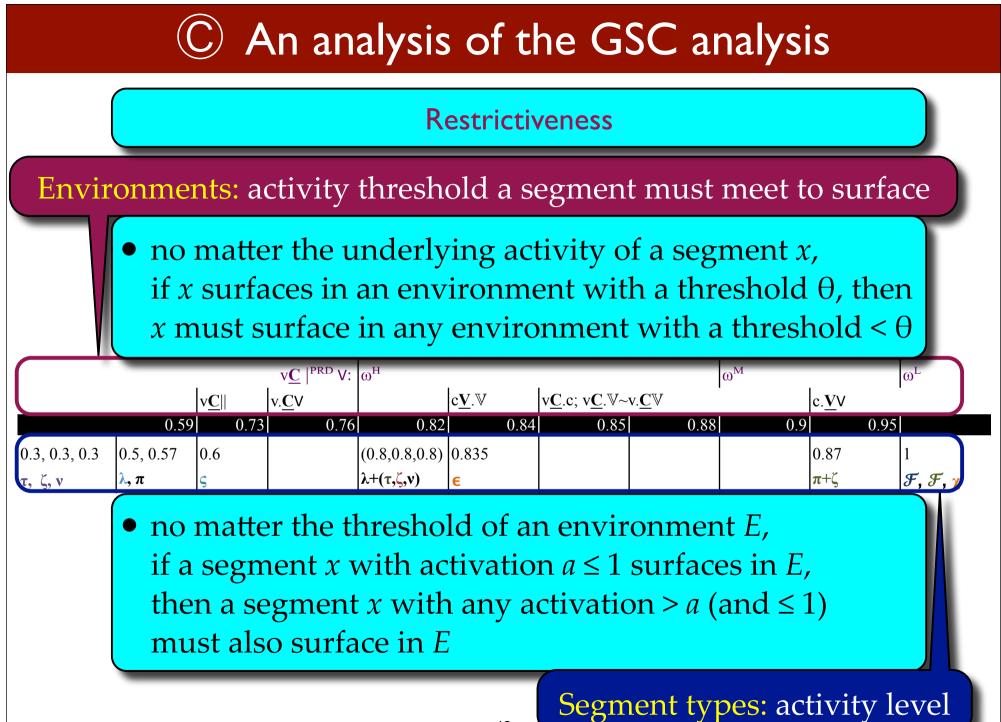
© An analysis of the GSC analysis

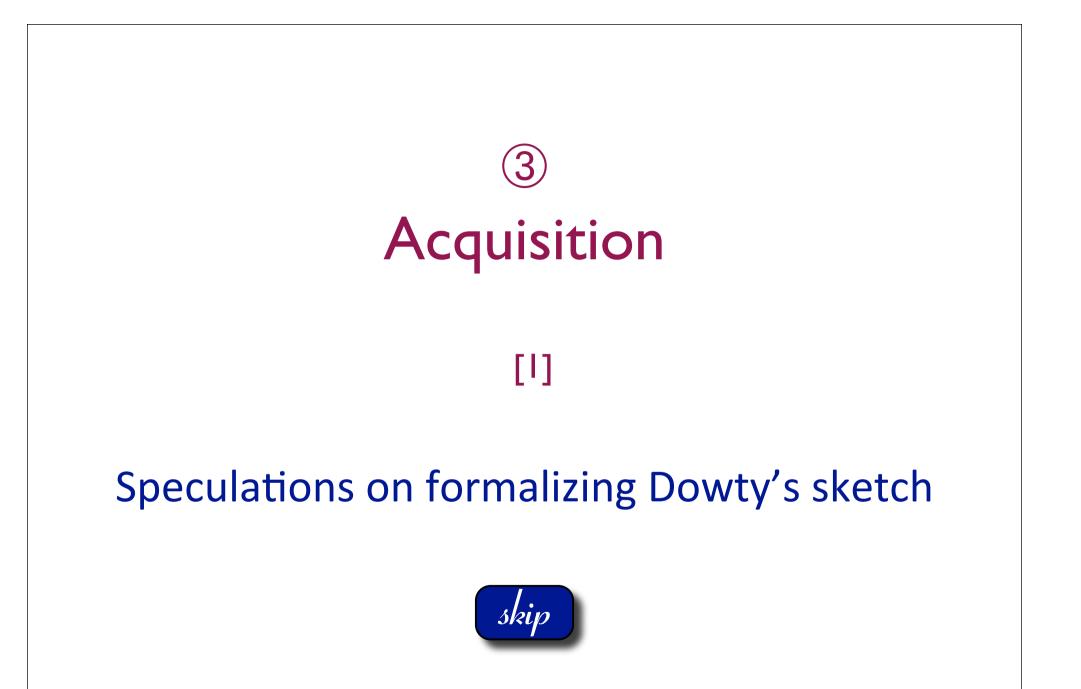
A less incomplete diagram of the analysis:



Environments: activity threshold a segment must meet to surface







Otes: Acquisition

Comprehension-directed optimization &

- ALIGN-L(Morpheme, Syllable)
- → start in free variation *ami* ~ *tami* ~ *zami* ~ *nami*
 - ▶ from: *joli. ami, peti.t ami, le.s amis, u.n ami*

Error signal *30li tami/30li ami \rightarrow

- weakens initial *t* of *tami*, say by 0.1; eventually, reduces to say $(0.7 \cdot t)ami$; [assume $\theta = 0.73$ as above];then
- to get *peti.tami* (when correctly choose */tami/*)
 - ► need "more *t* activity"
 - ▶ increase activity of *t* on both sides, say by 0.05: *peti* $(0.05 \cdot t)$ $(0.75 \cdot t)$ *ami*
- error *30li tami returns; reduce to (0.65. *t*)*ami*
 - ► to get *petit.ami* need to increase again: $peti(0.1 \cdot t) (0.70 \cdot t)ami$
 - ▶ ...

Image of the state of the s

Adult blend analysis \Rightarrow the shift does not go all the way!



4 The role of prosody: Formalization

' $[W_1W_2]$ ' lexical entry (input to grammar):

 $[m \mathbb{W}_1 (\neg \varphi \cdot m][m) \mathbb{W}_{2 m}]$

- W₁ means this contributes only to inputs with a particular W₁;
 W₂ means this contributes only to inputs with a particular W₂ or to inputs in which W₂ belongs to a particular syntactic category X
 - ♦ e.g., $[m \text{ quand } (-0.7 \cdot m][m) \mathbb{N} m]$ 'when N'

Call this a *collocation schema*

Input for *quand on* (*va*) is the blend:

 $[m \text{ quand } m] [m \text{ on } m] + [m \text{ quand } (-0.7 \cdot m][m) \text{ on } m]$

= $[m \text{ quand } (0.3 \cdot m)][m) \text{ on } m]$

i.e. *quand* and *on* are separated by a morpheme boundary of activity 0.3 \rightarrow *quand* [t] *on* (*va*)

4 The role of prosody: Formalization

The outputs from the grammar (candidates):

- contain morphological structure = that of the input (*containment*)
- are evaluated by constraints:

*CROSS(Morph, PCat): [Morph] and (PCat) constituents cannot cross

I.e., can have neither

[Morph (PCat $\mu \cdot Morph$] PCat) nor (PCat $\mu \cdot [Morph PCat) Morph$]

```
Penalty: \mu \cdot W^*CROSS(Morph, PCat)
```

which form a universal markedness hierarchy:

if PCat' is higher in the prosodic hierarchy than PCat, then

W*CROSS(Morph, PCat') > W*CROSS(Morph, PCat)

Crucially: liaison violates *CROSS from coalescence:

(PCat [m1 peti PCat) (PCat [m2 t_{12 m1}] ami m2] PCat) peti.t ami

The role of prosody: Formalization

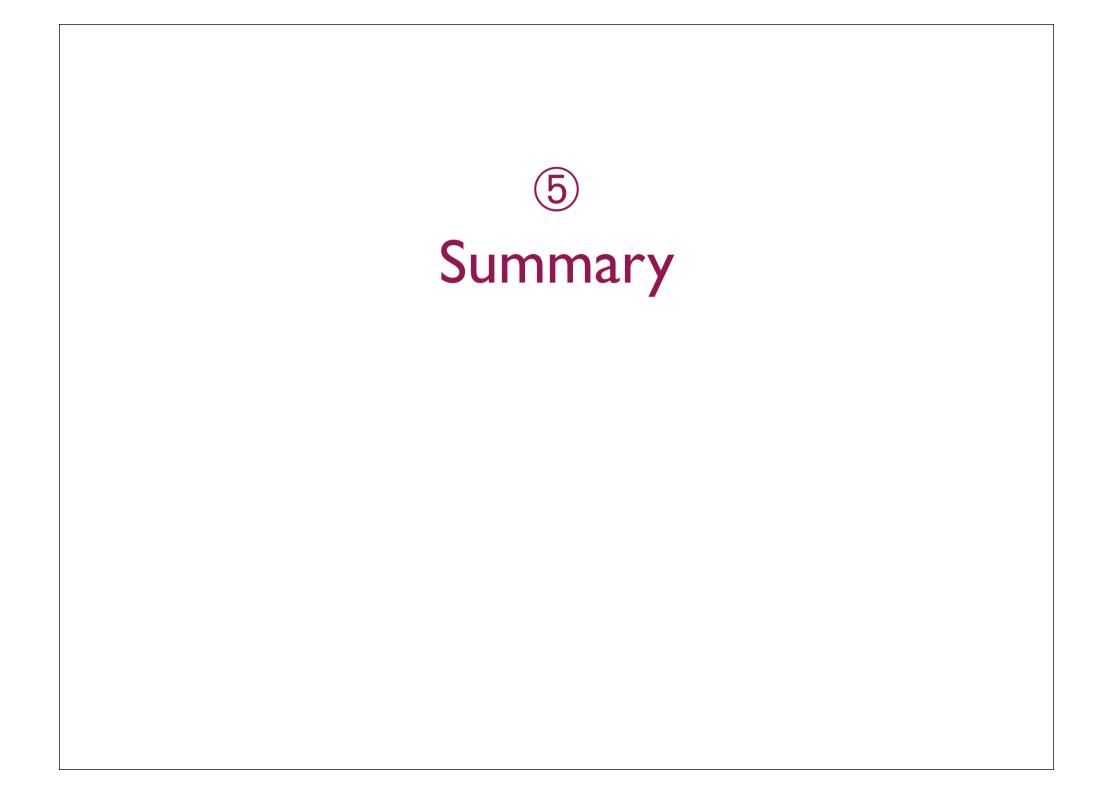
Penalty from liaison: $\mu \cdot w_{\text{CROSS(Morph, PCat)}}$

probability $\propto e^{-\text{Penalty}}$ greater Penalty \Rightarrow lower probability p(liaison) increases both from

- increasing collocation frequency (decreases μ) and
- decreasing prosodic-hierarchy-level of the boundary separating W₁ and W₂,

because if PCat is lower in the hierarchy than PCat':

w*CROSS(Morph, PCat) < w*CROSS(Morph, PCat')



Summary

Gradient Symbolic Representations crucial uses:

• adult blend: $0.5 \cdot [\hat{W}_1 \mathcal{L}$ -analysis] + $0.3 \cdot [\mathcal{L} \hat{W}_2$ -analysis]

formalization of Dowty (2003)

Summary

Gradient Symbolic Representations crucial uses:

- adult blend: $0.5 \cdot [\hat{W}_1 \mathcal{L}$ -analysis] + $0.3 \cdot [\mathcal{L} \hat{W}_2$ -analysis]
- many crucially different gradient activity levels for different $\mathcal{L}s$
 - ► \mathcal{L} of W_1
 - ► \mathcal{L} of W_2
 - \blacktriangleright z of plural
 - \blacktriangleright z of dix
 - ► pure floating activity of FEM
 - ► Vs that elide
- acquisition process of gradually shifting activity of \mathcal{L} from W_2 to W_1
- usage-based gradual increase of activity in lexicon of [W₁W₂]
 - implemented with *negative morpheme boundary activity*

That's all folks! — Thanks for your attention

crucial dependence on Harmonic Grammar to enable grammatical computation over Gradient Symbolic Representations